

Assignment 2: Relations and Mappings (Solutions)

This assignment contains solved practice problems, numbered in red.
The assigned problems and sub-problems are numbered in green.

1. (25%) Let $A = \{a, b, c, d\}$ and $B = \{0, 1, 2\}$. For each of the following types of mapping from A to B determine the number of possible distinct mappings of that type.

- (i) All mappings.

Solution. There are 12 elements (pairs) in $A \times B$, so there are $2^{12} = 4096$ possible mappings, i.e. binary relations.

Alternative approach: For each $x \in A$ there are $2^3 = 8$ options for output-set. So altogether we have $8^4 = 4096$ mappings.

- (ii) Partial functions, i.e. univalent mappings.

Solution. For each $x \in A$ there are four options for $F(x)$: $0, 1, 2$ and *undefined*. So there are $4 \times 4 = 16$ partial-functions from A to B .

- (a) Total-functions.

Solution. For each $x \in A$ we have three options for $F(x)$: $0, 1$ and 2 . So there are $3 \times 3 = 9$ total-functions from A to B .

- (b) Total mappings. [Hint: Consider the second solution to (i), but now \emptyset is not an acceptable output-set.]

Solution. For each $x \in A$ there are 7 options for the output-set, given that \emptyset is excluded. So altogether we have $7^4 = 2401$ total mappings.

- (c) Surjective mappings. [Hint: Use (b)]

Solution. The surjective mappings from A to B are a mirror image of the total mappings from B to A . From (b) the number of such total mappings, when both domain and range have 3 elements, is 2401.

- (d) Injective mappings. [Hint: Same as the number of univalent mappings from B to A . Now (ii).]

Solution. The injective mappings from A to B are a mirror image of the partial functions from B to A . From (ii) the number of those, when both domain and range have 3 elements, is 12.

- (e) Bijections. [Hint: This is a trick question.]

Solution. None, since A and B have different size.

2. (10+10+5%). Let $f : \mathbb{N} \rightarrow A$ be an injection and B an arbitrary set.

(a) Define an injection $g : \mathbb{N} \times B \rightarrow A \times B$.

Solution. For $x \in \mathbb{N}$ and $y \in B$ let $g(\langle x, y \rangle) = \langle f(x), y \rangle$. g is injective, because if $g(\langle x', y' \rangle) = g(\langle x, y \rangle)$ i.e. $\langle f(x'), y' \rangle = \langle f(x), y \rangle$ then $x' = x$ since f is injective and $y' = y$ by the definition of ordered pairs.

(b) Define an injection $h : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(A)$.

Solution. For $A \subseteq \mathbb{N}$ let $h(X) = \{f(n) \mid n \in X\}$. h is a total-function, since it is uniquely defined for every input $X \subset \mathbb{N}$. h is injective because if $X \neq Y$, say $k \in X - Y$, then by the definition of h $f(k) \in h(X)$ but $f(k) \notin h(Y)$, so $h(X) \neq h(Y)$.

(c) Define a surjective partial-function $j : A \rightarrow \mathbb{N}$.

Solution. Let j be the inverse f^{-1} of f . In other words, $j(x)$ is defined to be the unique y for which $f(y) = x$, if there is such an y , and undefined otherwise.

Since f is injective, j is univalent, and so a partial-function. It is surjective on \mathbb{N} because f is total.

3. (10+5%) Functions f, g over \mathbb{N} are *almost equal* (notation: $f =_{ae} g$) if there are only finitely many n 's for which $f(n) \neq g(n)$.

(a) Prove that $=_{ae}$ is an equivalence relation.

Solution. $=_{ae}$ is reflexive, since a function differs from itself on the empty set, which is finite.

It is symmetric by its very definition.

To see that it is transitive, suppose that $f =_{ae} g$, with f and g differing only over a finite set $A \subset \mathbb{N}$; and $g =_{ae} h$, say g and h differing only over a finite set B . Then f and h differ at most over $A \cup B$, which is finite. So $f =_{ae} h$.

(b) What is the equivalence class of the constant function $f(x) = 0$?

Solution. The collection of functions $g : \mathbb{N} \rightarrow \mathbb{N}$ for which $\{x \in \mathbb{N} \mid g(x) \neq 0\}$ is finite.

4. (10%), Prove that $\mathbb{R} \times \mathbb{R} \preceq \mathbb{R}$. [Hint: Define an injection $j : (0..1) \times (0..1) \rightarrow (0..1)$ where $j(a, b)$ has the infinite binary expansion obtained by merging the binary expansions of a and of b .]

Solution. Define $j : (0..1) \times (0..1) \rightarrow (0..1)$ where $j(a, b)$ ($a, b \in (0..1)$), given infinite decimal expansions $0.d_1d_2\cdots$ and $0.e_1e_2\cdots$ for a and b , is the real number with decimal expansion $0.d_1e_1d_2e_2\cdots$.

j is well-defined because

- Every $x \in (0..1)$ has a unique infinite expansion, for example $1/5$ expands to $0.19999\cdots$ and $1/6$ to $0.166666\cdots$.
- The merging operation is well-defined expansion yielding a unique real number.

We therefore have:

$$\begin{aligned} \mathbb{R} \times \mathbb{R} &\preceq \mathbb{R} \times (0..1) && \text{as in 2(a), since } \mathbb{R} \cong (0..1) \\ &\preceq (0..1) \times (0..1) && \text{for the same reason} \\ &\preceq (0..1) && \text{by } j \end{aligned} \qquad \preceq \mathbb{R}$$

Conversely, $\mathbb{R} \preceq \mathbb{R} \times \mathbb{R}$ by the injection $x \mapsto \langle 0, x \rangle$.

By the CBS Theorem it follows that $\mathbb{R} \times \mathbb{R} \cong \mathbb{R}$.

5. (10%) Show that the set F of functions from \mathbb{N} to \mathbb{N} is not countable.

Solution. Let $j : (0..1) \rightarrow F$ be the function that maps $a \in (0..1)$, with infinite decimal expansion $0.d_1d_2\cdots$, to the function $g_a : \mathbb{N} \rightarrow \{0, 1, 2, \dots, 9\}$ defined by $g_a(n) = d_n$. j is an injection, because every $a \in (0..1)$ has a unique infinite decimal expansion.

So $(0..1) \preceq F$, and F is not countable, lest $(0..1)$ would be countable, which it is not.

6. (15%) Use the CBS Theorem to show that $\{\mathbf{a}, \mathbf{b}\}^* \cong \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^*$.
[Hint: An injection $h : \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^* \rightarrow \{\mathbf{a}, \mathbf{b}\}^*$ can be defined using a two-letter codes for \mathbf{a}, \mathbf{b} and \mathbf{c} . (This is analogous to the binary coding of ASCII characters.)]

Solution. We have $\{\mathbf{a}, \mathbf{b}\}^* \preceq \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^*$ since the identity function on $\{\mathbf{a}, \mathbf{b}\}^*$ is an injection into $\{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^*$.

Conversely, define $f : \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^* \rightarrow \{\mathbf{a}, \mathbf{b}\}^*$ by

$$f(w) =_{\text{df}} w \text{ with each } \mathbf{a} \text{ replaced by } \mathbf{aa}, \mathbf{b} \text{ by } \mathbf{bb}, \text{ and } \mathbf{c} \text{ by } \mathbf{ab}.$$

f is an injection:

For every string u the string $f(u)$ has length $2|u|$. So if $f(u) = f(v)$ then $|u| = |v|$, and if $u = \sigma_0 \cdots \sigma_k$ and $v = \tau_0 \cdots \tau_m$ then $k = m$, $f(u) = f(\sigma_0) \cdots f(\sigma_k)$, and $f(v) = f(\tau_0) \cdots f(\tau_k)$.

By the definition of f , $f(\mathbf{a}), f(\mathbf{b})$ and $f(\mathbf{c})$ are all different, so $\sigma_i = \tau_i$ for $i = 1..k$, in other words $u = v$. Thus $\{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^* \preceq \{\mathbf{a}, \mathbf{b}\}^*$.

$\{\mathbf{a}, \mathbf{b}\}^* \cong \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}^*$ follows by the CBS Theorem.